

< Translation >

Note: This English translation of the original Japanese version of the notice has been prepared for the sole purpose of the convenience of non-Japanese shareholders and shall by no means constitute an official or binding version of the notice.

September 30, 2020

Daiwa Securities Group Inc.
9-1, Marunouchi 1-chome, Chiyoda-ku, Tokyo
Seiji Nakata, President and CEO

**Correction in Notice of Results of Exercise of Voting Rights
at the 83rd Ordinary General Meeting of Shareholders**

Daiwa Securities Group Inc. (the “Company”) hereby announces as follows that it has made correction to part of the “Notice of Results of Exercise of Voting Rights at the 83rd Ordinary General Meeting of Shareholders” dated June 26, 2020, due to the recount of Untallied Votes at Sumitomo Mitsui Trust Bank, Limited, the shareholder registry administrator who entrusted the tallying operations of voting rights for the Company.

Corrections are underlined in the tables below.

(Before correction)

3. Results of Exercise of Voting Rights (including number of votes in favor of the proposed bills, against the proposed bills and abstaining) and Requirements for Approval of the Bills

Agenda Item	In favor of the proposed bill	Against the proposed bill	Abstentions	Total number of voting rights exercised	Ratio of votes in favor of the proposed bill	Final results
Bill	-	-	-	-	-	-
Takashi Hibino	<u>10,864,506</u>	<u>536,446</u>	11,018	<u>11,416,749</u>	<u>95.16%</u>	Approved
Seiji Nakata	<u>10,838,157</u>	<u>562,795</u>	11,018	<u>11,416,749</u>	<u>94.93%</u>	Approved
Toshihiro Matsui	<u>11,107,131</u>	<u>293,827</u>	11,018	<u>11,416,755</u>	97.29%	Approved
Keiko Tashiro	<u>11,112,287</u>	<u>288,671</u>	11,018	<u>11,416,755</u>	<u>97.33%</u>	Approved
Akihiko Ogino	<u>11,112,460</u>	<u>288,498</u>	11,018	<u>11,416,755</u>	<u>97.33%</u>	Approved
Sachiko Hanaoka	<u>10,777,789</u>	<u>623,165</u>	11,018	<u>11,416,751</u>	<u>94.40%</u>	Approved
Hiromasa Kawashima	<u>10,771,961</u>	<u>628,993</u>	11,018	<u>11,416,751</u>	<u>94.35%</u>	Approved
Michiaki Ogasawara	<u>9,644,481</u>	<u>1,767,310</u>	176	<u>11,416,746</u>	<u>84.48%</u>	Approved
Hiroataka Takeuchi	<u>11,354,267</u>	<u>57,533</u>	176	<u>11,416,755</u>	99.45%	Approved
Ikuo Nishikawa	<u>11,317,519</u>	<u>94,281</u>	176	<u>11,416,755</u>	99.13%	Approved
Eriko Kawai	<u>11,330,838</u>	80,962	176	<u>11,416,755</u>	99.25%	Approved
Katsuyuki Nishikawa	<u>11,351,982</u>	<u>59,818</u>	176	<u>11,416,755</u>	99.43%	Approved
Toshio Iwamoto	<u>11,354,547</u>	<u>57,253</u>	176	<u>11,416,755</u>	99.46%	Approved

Note 1. The number of votes in favor of the proposed bill, against the proposed bill and abstaining are the sum of the

numbers of voting rights exercised in writing or via electronic device (via the Internet, etc.) until the day before the Meeting and the number of voting rights which were exercised on the day of the Meeting and of which we could confirm the result of exercise. The total number of voting rights exercised is the sum of the number of voting rights exercised in writing or via electronic device (via the Internet, etc.) until the day before the Meeting and the number of all the voting rights which were exercised on the day of the Meeting.

Note 2. The requirements for approval of the above bill is a vote in favor by a majority of the votes of the shareholders present at the meeting where shareholders holding a majority of one third or more of the votes of the shareholders entitled to exercise their votes at such shareholders' meeting are present.

(After correction)

3. Results of Exercise of Voting Rights (including number of votes in favor of the proposed bills, against the proposed bills and abstaining) and Requirements for Approval of the Bills

Agenda Item	In favor of the proposed bill	Against the proposed bill	Abstentions	Total number of voting rights exercised	Ratio of votes in favor of the proposed bill	Final results
Bill	-	-	-	-	-	-
Takashi Hibino	<u>10,887,938</u>	<u>536,536</u>	11,018	<u>11,440,271</u>	<u>95.17%</u>	Approved
Seiji Nakata	<u>10,861,589</u>	<u>562,885</u>	11,018	<u>11,440,271</u>	<u>94.94%</u>	Approved
Toshihiro Matsui	<u>11,130,583</u>	<u>293,897</u>	11,018	<u>11,440,277</u>	<u>97.29%</u>	Approved
Keiko Tashiro	<u>11,135,799</u>	<u>288,681</u>	11,018	<u>11,440,277</u>	<u>97.34%</u>	Approved
Akihiko Ogino	<u>11,135,972</u>	<u>288,508</u>	11,018	<u>11,440,277</u>	<u>97.34%</u>	Approved
Sachiko Hanaoka	<u>10,801,301</u>	<u>623,175</u>	11,018	<u>11,440,273</u>	<u>94.41%</u>	Approved
Hiromasa Kawashima	<u>10,795,473</u>	<u>629,003</u>	11,018	<u>11,440,273</u>	<u>94.36%</u>	Approved
Michiaki Ogasawara	<u>9,667,973</u>	<u>1,767,340</u>	176	<u>11,440,268</u>	<u>84.51%</u>	Approved
Hiroataka Takeuchi	<u>11,377,749</u>	<u>57,573</u>	176	<u>11,440,277</u>	<u>99.45%</u>	Approved
Ikuo Nishikawa	<u>11,341,031</u>	<u>94,291</u>	176	<u>11,440,277</u>	<u>99.13%</u>	Approved
Eriko Kawai	<u>11,354,360</u>	<u>80,962</u>	176	<u>11,440,277</u>	<u>99.25%</u>	Approved
Katsuyuki Nishikawa	<u>11,375,474</u>	<u>59,848</u>	176	<u>11,440,277</u>	<u>99.43%</u>	Approved
Toshio Iwamoto	<u>11,378,059</u>	<u>57,263</u>	176	<u>11,440,277</u>	<u>99.46%</u>	Approved

Note 1. The number of votes in favor of the proposed bill, against the proposed bill and abstaining are the sum of the numbers of voting rights exercised in writing or via electronic device (via the Internet, etc.) until the day before the Meeting and the number of voting rights which were exercised on the day of the Meeting and of which we could confirm the result of exercise. The total number of voting rights exercised is the sum of the number of voting rights exercised in writing or via electronic device (via the Internet, etc.) until the day before the Meeting and the number of all the voting rights which were exercised on the day of the Meeting.

Note 2. The requirements for approval of the above bill is a vote in favor by a majority of the votes of the shareholders present at the meeting where shareholders holding a majority of one third or more of the votes of the shareholders entitled to exercise their votes at such shareholders' meeting are present.

-End-